

SIFMA BUSINESS CONTINUITY TEST
Saturday, October 27, 2012

TEST DAY SCRIPT

Exchange/Utility	Chicago Board Options Exchange
Test Day Contact	Operations: 312-786-7100
Test Description	Firms will submit orders for pre-determined options products to the exchange. The exchange will in turn validate the receipt of these orders as defined in the transaction acknowledgement protocol field below. CBOE will exchange end of day test files with the OCC with each side verifying transmission and receipt.
Trade Date	October 27, 2012
Projected Start/End Times	8:30 a.m. – 12:00 p.m. (CST)
Systems to Be Used for Testing	CBOEDirect
Transaction Count	Minimum of 2 Transactions Maximum of 10 Transactions

Test Transaction	Transaction Type (Buy/Sell, Receive/Deliver, Wire, etc.)	Security Identifier (Symbol/Cusip/Isin)	Quantity	Year	Month	Strike	Call/ Put	Expiration
1	Buy	GE	100	2013	Jan	15	Call	19
2	Sell	MRK	100	2013	Jan	38	Put	19

Transaction Acknowledgment Protocol	For each transaction, you will receive a fill report
Availability of Test Day Conference Bridge Line	NO
Completion of Test Acknowledgement Protocol	When you have completed your testing, please let us know, either by indicating your completion by calling the help desk at 312-786-7100 or you can send an e-mail to embreyd@cboe.com

Other Information

All firms will be required to pre-test with CBOE prior to the industry test. Contact the API group at api@cboe.com to schedule a pretest.