

SIFMA BUSINESS CONTINUITY TEST

Saturday, October 27, 2012

TEST DAY SCRIPT

Exchange/Utility	BOX
Test Day Contact	BOX MOC 1- 866-768-8845 Or Technical Help Desk 1-866-905-3535
Test Description	Participants will submit orders for pre-determined products to the exchange. BOX will validate the receipt of these orders by sending back transaction acknowledgements. Pre-open starts at 9:30 a.m. ET. Trading starts at 10:00 a.m. ET. Participants must test using the same IP address/port as in their normal production environment.
Trade Date	October 27, 2012
Projected Start/End Times	10:00 a.m. – 1:00 p.m. ET No connection attempt before 9:30 a.m. ET.
Systems to Be Used for Testing	BOX DR site.
Transaction Count	Minimum of 2 Orders/Quotes acknowledgements

Test Transaction	Transaction Type (Buy/Sell)	Security Identifier (Symbol)	Quantity	Price
1	B/S	MSI 130119C20.00	10	25.50
2	B/S	BA 131019P47.50	5	0.20

Transaction Acknowledgment Protocol	An acknowledgment will be sent for each order/quote and trade. Note: receiving a reject message also implies a successful connectivity test.
Availability of Test Day Conference Bridge Line	TBD
Completion of Test Acknowledgement Protocol	When you have completed your testing, please let us know, either by indicating your completion on the open call or by sending an e-mail to boxmoc@boxexchange.com
Other Information	IP addresses and port numbers are the same as the ones used in the production environment. Participants have to make sure they will not attempt to connect before 9:30 a.m. ET.